



BEACON ROUNDTABLE SERIES

BEACON STRATEGIES, LLC

2026 FIRST HALF STATISTICS

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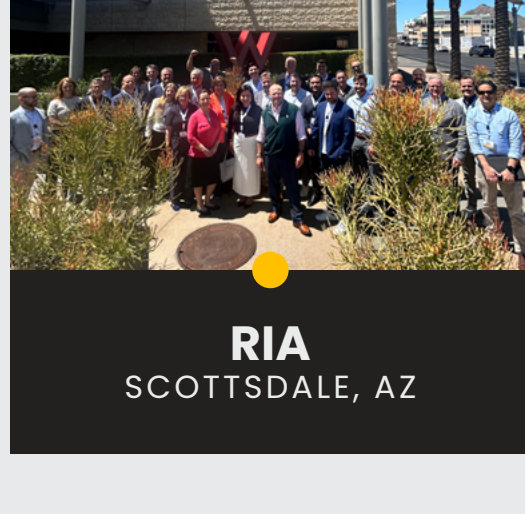
Number of Roundtables



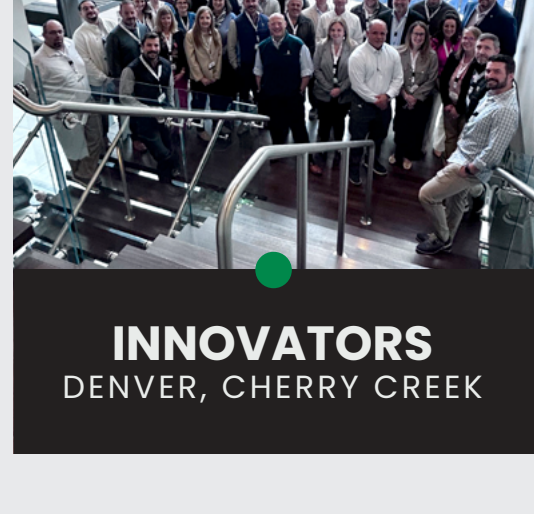
COMPLIANCE
ORLANDO, FL



OPERATIONAL
EXCELLENCE
SAVANNAH, GA



RIA
SCOTTSDALE, AZ



INNOVATORS
DENVER, CHERRY CREEK

Number of Attendees:

Wealth Firms: 74

Partner Attendees: 51

New to BeaconCommunity:

Wealth Firms: 10

Partner Firms: 12

Number of Advisors Represented: 82,000+

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Case Studies

Examples

- Off-Channel Communications: The Endless Battle
- Regulation Best Interest: Execution Gaps
- AI Compliance Tech: Promise & Peril
- The Platform Migration: Rebuilding Operations from the Ground Up
- One Source of Truth: Custodians, Clearing Firms, Transfer Agents, and Vendor Data
- When the Model Get Stressed: Managing Operations Through Firm Growth
- Building a Firm that Outlasts Its Founders: Succession, Ownership, and the Next Generation of Leadership
- Growing Deliberately: How the Best RIA Business Leaders Are Building Firms that Scale with Intention.
- AI at the Business Level: How RIA Business Leaders are Making the Decisions that Matter.
- Building for Scale: Technology Decisions that Support Rapid Growth.

"Even more educational than I could have hoped."

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Breakout Sessions

Examples

- Scaling Compliance in High-Growth Firms
- Third-Party Risk: From Spreadsheets to Control
- Tech Stack Rationalization: What to Keep, Cut, or Replace
- Third-Party Vendor Oversight Under the SEC/FINRA Microscope
- Workflow Automation: Where STP Is Delivering ROI and Where It Isn't
- Building and Retaining the Ops Talent Pipeline
- Succession and Next-Generation: Building a Firm the Next-Generation Wants to Own
- Custodian Relationships as a Business Asset: Getting More than Custody
- The Integration Layer: Building Connections that Hold
- AI that Advisors Use: Closing the Gap Between Deployment and Adoption
- Data as Infrastructure: Building the Foundation that Makes Everything Else Possible.
- Build vs. Buy vs. Partner: Making the Right Call Without Regretting it in Two Years.
- The Platform of the Future: What are We Building Toward.

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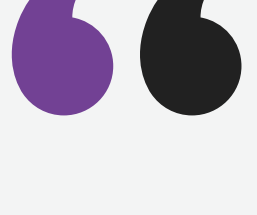
Community Questions

Examples

- How are firms allowing reps to utilize AI, internally and with customers?
- Outline of incident response and escalation: notification thresholds, tabletop cadence, evidence collection, board reporting, etc?
- Firm philosophy toward managing technology and staying relevant without spending all the time on new integrations?
- As AI reshapes operations, how are decisions made on what should be human-ledvs driven by AI?
- What changes are firms making today to attract and retainthe next generation of advisors and staff?
- As firms grow, what is the hardest operational or compliance process to scale without slowing advisor productivity?
- In addition to email, website, and newsletter communications, what creative methods or tools do you use to communication important information to your advisors and staff?
- We all know changing custodians is a big project. What would motivate you to consider taking on such a project?
- Looking ahead 12 – 24 months, what capabilities are you investing in now that you believe will differentiate you later?
- What signal or metric do you rely on to decide whether to double down on an innovation or stop investing in it?

"Discussions were comprehensive and not rushed."

Wealth Firms



There is nothing else like a Beacon Roundtable. Invitation only, real collaboration, real problems solved. Chip keeps raising the bar."

Partners Firms



"The roundtable content was amazing, and the event was run so well from start to finish. As a first-timer, I made great connections and learned more than I expected. You can feel the effort that goes into every detail."

The Roundtable Series 2026-2027 Schedule

The Marketing & Recruiting Roundtable

October 14 - 16, 2026 | Cherry Creek, CO

The Roundtable

November 11 - 13, 2026 | Santa Barbara, CA

Compliance Roundtable

February 2027 | TBD

Operational Excellence Roundtable

March 2027 | TBD

RIA Roundtable

April 2027 | TBD

Innovators Roundtable

May 2027 | TBD

Come share, learn, and network with us!



BEACON STRATEGIES, LLC
CONSULTING | ROUNDTABLES | PRODUCTS & SERVICES

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